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Attorney Docket No.: 018926-003140US Client Reference No.: D2316 CIP 2

On

March 19, 2002

TOWNSEND and TOWNSEND and CREW LLF

By: / / / Cindy Benefit

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Eric J. Sprunk

Application No.: 09/827,613

Filed: April 6, 2001

For: AUTHENTICATION AND

AUTHORIZATION EPOCHS

Examiner:

Art Unit:

2611

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR

§1.97 and §1.98

Assistant Commissioner for Patents Washington, D.C. 20231

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Technology Center 2600

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. Also enclosed is a copy of the Search/Examination report corresponding to the PCT application. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no



Eric J. Sprunk

Application No.: 09/827,613

Page 2

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

CERTIFICATION

Counsel certifies that, upon information and belief, each item of information listed herein was either (a) cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or (b) was not cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of undersigned after making reasonable inquiry, was not known to any individual designated in 1.56(c) more than three months prior to the filing of this IDS.

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to the above-noted Deposit Account.

Respectfully submitted,

Thomas D. Franklin Reg. No. 43,616

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

Tel: 303-571-4000 Fax: 303-571-4321

TDF:cmb

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TRANSMITTAL Filing Date First Named Inventor First
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Examiner Name Total Number of Pages in This Submission Attorney Docket Number D18926-003140US ENCLOSURES (check all that apply) Assignment Papers (for an Application) After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) and Accompanying Petition Petition to Convert to a Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please identify below): Express Abandonment Request Terminal Disclaimer Request identify below): Information Disclosure Statement CD, Number of CD(s)
Total Number of Pages in This Submission Attorney Docket Number Attorney Docket Number D18926-003140US
ENCLOSURES (check all that apply) Fee Transmittal Form
☐ Fee Transmittal Form ☐ Assignment Papers (for an Application) ☐ After Allowance Communication to Group ☐ Fee Attached ☐ Drawing(s) ☐ Appeal Communication to Board of Appeals and Interferences ☐ Amendment / Response ☐ Licensing-related Papers ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) ☐ After Final ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition ☐ Proprietary Information ☐ Affidavits/declaration(s) ☐ Petition to Convert to a Provisional Application ☐ Status Letter ☐ Extension of Time Request ☐ Power of Attorney, Revocation Change of Correspondence Address ☐ Other Enclosure(s) (please identify below): ☐ Express Abandonment Request ☐ Terminal Disclaimer Return Postcard Cited References: (1-US Patent; 3-Foreign; ☐ Number of CD(s)
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Response to Missing Parts/ Incomplete Application
Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 PAR 2.8 2002
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and Individual name Thomas D. Franklin Reg. No. 43,616
Signature
Date Ma(ch 19) 2002
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of

Complete if Known					
Application Number	09/827,613				
Filing Date	April 6, 2001				
First Named Inventor	Sprunk, Eric J.				
Group Art Unit	2611				
Examiner Name					
Attorney Docket Number	018926-003140US				

				U.S. PATENT DOCU	MENTS	
Examiner Initials *	Cite No.1	U.S. Patent Document Number Kind Code ² (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	AU	EP	0 601 500	A1	TestDrive Corp.	06-15-1994		
	AV	EP	0 878 796	A2	Kabushiki Kaisha Toshiba	11-18-1998		
	AW	PCT	WO 97/25798	A1	The Mitre Corp.	07-17-1997		
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.